### 1. OVERVIEW

The SMD-01B is a high-precision optical encoder that employs a diffraction image projection method. It incorporates an OEIC (Opto-Electric Integrated Circuit) and LED light source in a single package. Light emitted from the LED is projected onto a scale, and the reflected diffraction image is focused on a photodiode. The reflected light contains position information that is recovered to detect the relative movement between the SMD-01B and the scale. A photodiode array is used to reduce degradation in phase characteristics due to mounting alignment. This allows the SMD-01B to be mounted without monitoring the output signal, unlike conventional high-precision optical encoders which typically need to be mounted and aligned while monitoring the output signal.

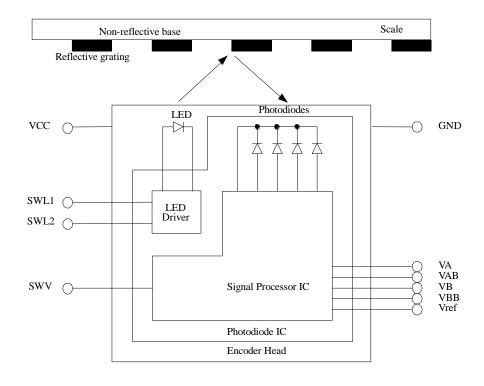
### 2. FEATURES

- Package: Miniature clear-mold package (5.3mm × 4.3mm × 1.68mm)
- Resolution: 5μm (using 20μm pitch pattern scale and A-phase/B-phase signal detection)
- Optimized OEIC and optics design for easy mounting alignment
- LED and OEIC fabricated in a single package
- Adjustable LED brightness using external inputs
- Analog (sine wave) output
   Supply voltage: 3.13 to 5.25V
   Current consumption: 12.2 mA

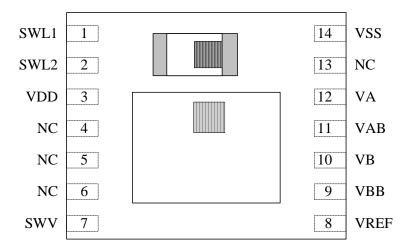
### 3. APPLICATIONS

- Precision stages
- Sliders
- Robots

### 4. BLOCK DIAGRAM



# 5. PIN LAYOUT



# 6. PIN DESCRIPTION

Number	Name	I/O	Description
1	SWL1	I	LED brightness adjustment 1 ( pull up)
2	SWL2	I	LED brightness adjustment 2 ( pull up)
3	VDD	-	Supply voltage
4	NC	-	No connection (leave open circuit)
5	NC	-	No connection (leave open circuit)
6	NC	-	No connection (leave open circuit)
7	SWV	I	Reference voltage select input ( pull up)
8	VREF	О	Reference voltage output
9	VBB	О	B-phase inverted analog signal (BB phase) output
10	VB	О	B-phase analog signal (B phase) output
11	VAB	О	A-phase inverted analog signal (AB phase) output
12	VA	О	A-phase analog signal (A phase) output
13	NC	-	No connection (leave open circuit)
14	VSS	-	Ground

## 7. ABSOLUTE MAXIMUM RATINGS

 $V_{SS}=0V$ 

Parameter	Symbol	Conditions	Rating	Unit	note
Supply voltage range	$V_{ m DD}$	Voltage between VDD and VSS	-0.3 to +6.0	V	*1
Input voltage range	V <sub>IN</sub>	SWL1, SWL2, SWV pins	-0.3 to V <sub>DD</sub> +0.3	V	*1, *2
Output voltage range	V <sub>OUT</sub>	VA, VAB, VB, VBB, VREF pins	-0.3 to V <sub>DD</sub> +0.3	V	*1, *2
Storage temperature range	$T_{STG}$	-	-40 to +80	°C	*3

<sup>\*1:</sup> Absolute maximum ratings are the values that must never be exceeded, even for a moment. This product may suffer breakdown if any one of these parameter ratings is exceeded. Operation and characteristics are guaranteed only when the product is operated at recommended supply voltage range.

## 8. RECOMMENDED OPERATING CONDITIONS

 $V_{SS}=0V$ 

						v 55-0 v
Parameter	Symbol	Conditions	MIN	TYP	MAX	Unit
C and altern	V	Between VDD and VSS terminals SWV = H	3.13	3.3	5.25	V
Supply voltage	$V_{ m DD}$	Between VDD and VSS terminals SWV = L or H	4.75	5	5.25	V
Operating temperature	$T_a$	-	-20	-	60	°C
Response speed	R <sub>t</sub>	-	0	-	2	m/s

<sup>\*</sup> Since it may influence the reliability if it is used out of the recommended operating conditions range, this product should be used within this range.

<sup>\*2:</sup> V<sub>DD</sub> is the V<sub>DD</sub> value of recommended operating conditions.

<sup>\*3:</sup> When stored alone in nitrogen or vacuum atmosphere.

## 9. ELECTRICAL CHARACTERISTICS

### 9.1. DC Characteristics

 $V_{SS} = 0V$  ,  $T_a = 27^{\circ}C$  unless otherwise noted MIN TYP Parameter Symbol Conditions MAX Unit Pins Current consumption 1 SWL1 = H, SWL2 = H4 12.2 23  $I_{DD1}$ 7 16.9 Current consumption 2  $I_{DD2}$ SWL1 = H, SWL2 = L30 VDD mASWL1 = L, SWL2 = H11 21.0 35.5 Current consumption 3  $I_{DD3}$ 2 SWL1 = L, SWL2 = LCurrent consumption 4  $I_{DD4}$ 6 13.5 SWV=H 1 1.45 Reference voltage 1  $V_{ref1}$ 2 V VREF SWV=L Reference voltage 2 1.4 2.25 3.2  $V_{ref2}$  $V_{DD} = 5 \pm 0.25 V$ A-phase  $V_{AO} \\$ VA signal offset voltage AB-phase VAB  $V_{ABO}$ signal offset voltage SWL1 = L, SWL2 = LV -0.25 0.25 Variation from VREF B-phase VB  $V_{BO}$ signal offset voltage BB-phase  $V_{BBO}$ VBB signal offset voltage Difference between **VREF** Output voltage  $\Delta V_{O1}$ 0μA and 50μA 0 30 fluctuation 1 VA sink current mVVAB Difference between Output voltage VB  $0\mu A$  and  $50\mu A$ -30 0  $\Delta V_{O2}$ fluctuation 2 VBB source current HIGH-level  $0.8*V_{DD}$ V  $V_{IH}$  $V_{DD}$ input voltage LOW-level  $V_{IL}$ 0  $0.2*V_{DD}$ V SWL1 input voltage SWL2 HIGH-level **SWV** 1  $V_{IN}\!\!=\!\!V_{DD}$ -1  $I_{IH}$ μΑ input current LOW-level

-20

 $V_{IN} = V_{SS}$ 

 $I_{IL}$ 

input current

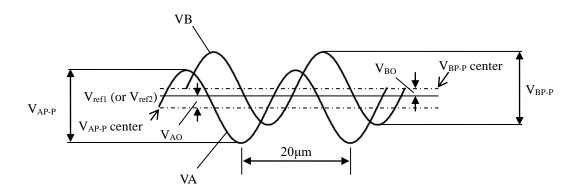
μΑ

-1

# 9.2. Analog Characteristics

$V_{DD} = 5V$ , $V_{SS} = 0V$ , $T_a = 27^{\circ}C$ unless otherwise noted	$V_{DD} = 5V$	$V_{SS} =$	= 0V .	$T_a =$	27°C	unless	otherwise	noted
--	---------------	------------	--------	---------	------	--------	-----------	-------

Parameter	Symbol	Conditions	MIN	TYP	MAX	Unit	Pins
A-phase output signal amplitude	V <sub>AP-P</sub>						VA
AB-phase output signal amplitude	$V_{ABP-P}$	SWL1 = H, SWL2 = H Standard scale conditions	0.13	0.85	3	V	VAB
B-phase output signal amplitude	$V_{\mathrm{BP-P}}$	Value of peak to peak	0.13	0.83	3	V	VB
BB-phase output signal amplitude	$V_{\mathrm{BBP-P}}$						VBB
A-phase - B-phase difference	$D_P$	Standard scale conditions	50	90	130	0	VA,VB



 $V_{AO}\ \&\ V_{BO}$  offsets represent the differences between the VA & VB cycle center lines and  $V_{ref1}$  (or  $V_{ref2}$ ).

## 9.3. Standard Scale Conditions

Electrical characteristics ratings apply under the following conditions.

	Parameter	Conditions	Unit
	Reflective surface reflection factor	57	%
Scale	Non-reflective surface reflection factor	5	%
	Pattern	20μm pitch (10μm Cr line/10μm spacing)	-
SMD-01B head	Gap (ΔGap)	0.3	mm
alignment	Yaw angle $(\Delta\theta_y)$ Roll angle $(\Delta\theta_r)$ Pitch angle $(\Delta\theta_p)$	0	0

### 10. FUNCTIONAL DESCRIPTION

The SMD-01B head emits 632nm center-wavelength visible light from the LED and projects the light through a slit and onto a scale having a  $20\mu m$  pitch ( $10\mu m$  lines/ $10\mu m$  spacing) grating pattern. The reflected diffraction image is focused on a photodiode array to detect the relative movement between the head and the scale. An analog signal (sine wave) with a cycle period of  $20\mu m$  is output. The output signal is composed by the  $90^{\circ}$  phase difference A-phase and B-phase diffraction signals.

### 10.1. LED Brightness Switching Function

The signal amplitude can be adjusted by adjusting the LED brightness. The LED brightness is adjusted by adjusting the LED current. The amplitude adjustment options are shown in the following table.

SWL1	Н	Н	L	L
SWL2	Н	L	Н	L
A/B-phase analog signal amplitude	×1.0	×1.8	×2.6	×0

## 10.2. Reference Voltage Switching Function

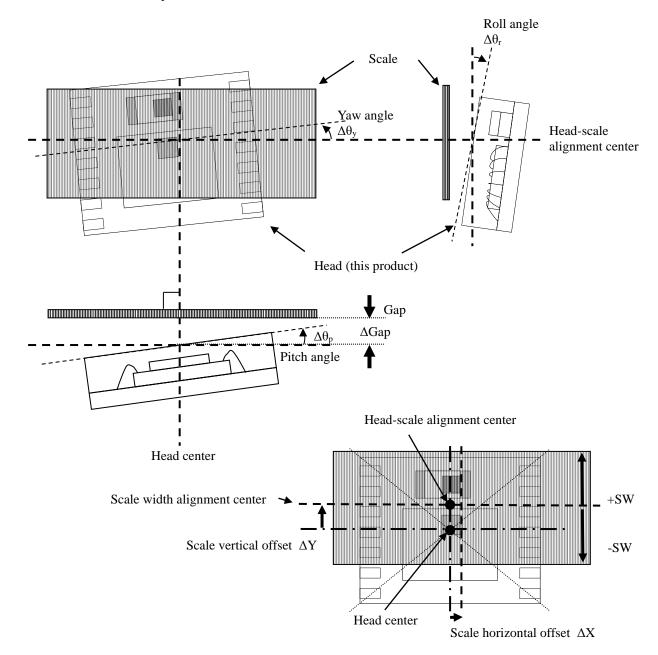
The SMD-01B has a function for switching the reference voltage (Vref) by changing the setting of the SWV pin. Set the reference voltage according to the required input conditions of the following stage circuit.



### 11. SCALE and HEAD ALIGNMENT

The encoder head optical center position is offset from the physical center of the head by 0.77mm ( $\Delta Y$ ) in the vertical direction and 0.0mm ( $\Delta X$ ) in the horizontal direction. If using a linear scale, only the offset in the scale width direction ( $\Delta Y$ ) needs to be considered. If using a rotary scale, the scale offset in the horizontal direction ( $\Delta X$ ) must also be taken into account.

Conditions of optimum optics of this product may vary due to mounting tolerance of optical elements, so thorough evaluation is needed to set the conditions. Particularly when using small-diameter rotary scale, effect of alignment conditions on signal amplitude and phase difference is greater than when using linear scale. Individualized alignment is recommended to obtain better product characteristics.



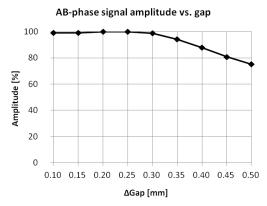
## Reference data:

	Parameter	Conditions	Unit
Scale	Scale width (±SW)	±1 (min)	mm
SMD-01B head	Scale vertical offset ( $\Delta Y$ )	0.77	mm
alignment	Scale horizontal offset ( $\Delta X$ )	0.0	mm

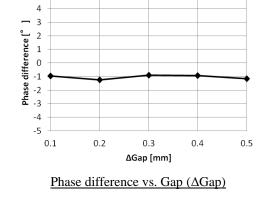
### 12. REFERENCE DATA

\* NPC Standard Conditions Scale and Head Alignment

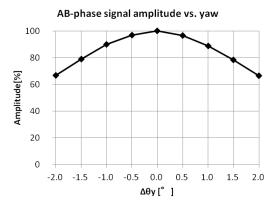
# 12.1. Alignment Characteristics



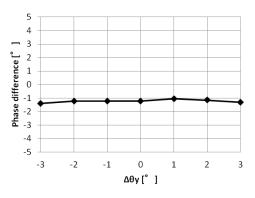
Amplitude vs. Gap (ΔGap)



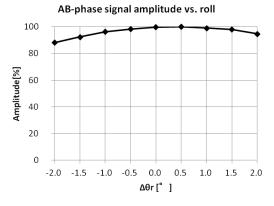
5



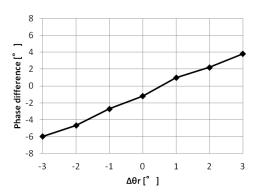
Amplitude vs. Yaw angle  $(\Delta \theta_y)$ 



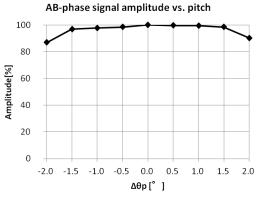
Phase difference vs. Yaw angle  $(\Delta \theta_y)$ 

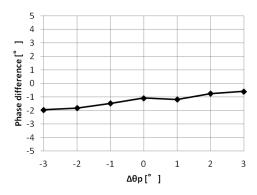


Amplitude vs. Roll angle ( $\Delta\theta_r$ )



Phase difference vs. Roll angle  $(\Delta\theta_r)$ 



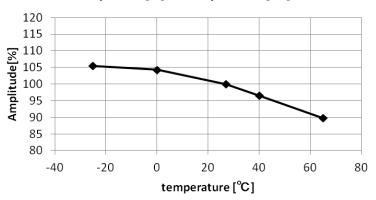


Amplitude vs. Pitch angle ( $\Delta\theta_p$ )

Phase difference vs. Pitch angle  $(\Delta \theta_p)$ 

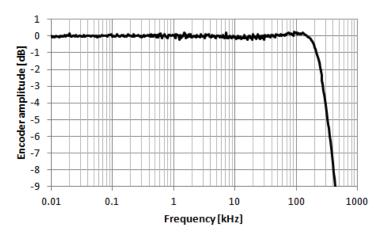
# 12.2. Temperature Characteristics

# amplitude[%] vs temperature[°C]



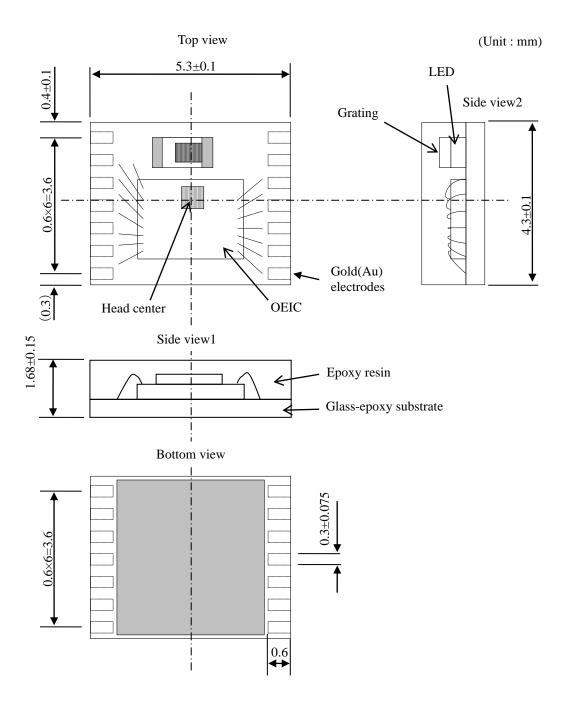
Amplitude vs. Temperature (Ta)

## 12.3. Frequency Characteristics



Amplitude vs. Frequency

# 13. PACKAGE DIMENSIONS

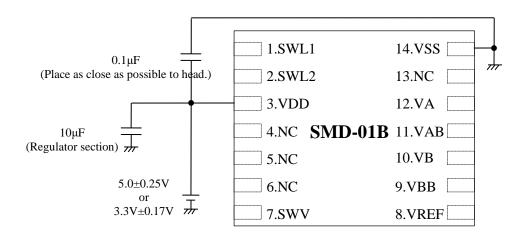


## 14. PRECAUTION FOR USE

Wire involved in drive actuator should be placed as far away from SMD-01B and wire drawn out from SMD-01B (especially analog signal wire) as possible.

The closer, the larger the noise to encoder signal. More digital signal chatterings occur when the noise is larger, and position detection accuracy is deteriorated.

Capacitors shown below should be placed on VDD wire and VSS wire of SMD-01B for protection against noise.



### 15. USAGE AND PRECAUTIONS

This product is designed and manufactured to the generally accepted standards of reliability as expected for use in general electronic and electrical equipment, such as personal equipment, machine tools, and measurement equipment. This product is not designed and manufactured to be used in any other special equipment requiring extremely high level of reliability and safety, such as aerospace equipment, nuclear power control equipment, medical equipment, transportation equipment, disaster prevention equipment, security equipment.

If you wish to use this product in equipment requiring extremely high level of reliability, please contact our sales department or representative in advance.

In the event that this product is used in such equipment, please take scrupulous care and apply fail-safe techniques including redundancy and malfunction prevention in order to prevent damage to life, health, property, or infrastructure etc. in case there is some malfunction in the product.

Please pay your attention to the following points at time of using the products shown in this document.

- 1. The products shown in this document (hereinafter "Products") are designed and manufactured to the generally accepted standards of reliability as expected for use in general electronic and electrical equipment, such as personal equipment, machine tools and measurement equipment. The Products are not designed and manufactured to be used in any other special equipment requiring extremely high level of reliability and safety, such as aerospace equipment, nuclear power control equipment, medical equipment, transportation equipment, disaster prevention equipment, security equipment. The Products are not designed and manufactured to be used for the apparatus that exerts harmful influence on the human lives due to the defects, failure or malfunction of the Products.
  - If you wish to use the Products in that apparatus, please contact our sales section in advance.
  - In the event that the Products are used in such apparatus without our prior approval, we assume no responsibility whatsoever for any damages resulting from the use of that apparatus.
- 2. NPC reserves the right to change the specifications of the Products in order to improve the characteristics or reliability thereof.
- 3. The information described in this document is presented only as a guide for using the Products. No responsibility is assumed by us for any infringements of patents or other rights of the third parties which may result from its use. No license is granted by implication or otherwise under any patents or other rights of the third parties. Then, we assume no responsibility whatsoever for any damages resulting from that infringements.
- 4. The constant of each circuit shown in this document is described as an example, and it is not guaranteed about its value of the mass production products.
- 5. In the case of that the Products in this document falls under the foreign exchange and foreign trade control law or other applicable laws and regulations, approval of the export to be based on those laws and regulations are necessary. Customers are requested appropriately take steps to obtain required permissions or approvals from appropriate government agencies.



## SEIKO NPC CORPORATION

2-9-4, Taito, Taito-ku, Tokyo 110-0016, Japan Telephone: +81-3-6747-5300 Facsimile: +81-3-6747-5303 http://www.npc.co.jp/ Email:sales@npc.co.jp

DE220190E 2022.06

